

Attorney's Docket No.: 13445-030001 / L7 (MIT 10102)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gilles Benoit et al.

Art Unit: 1771

Serial No.: 10/733,873

Examiner: Andrew T. Piziali

Filed

: December 10, 2003

Title :

: FIBER WAVEGUIDES AND METHODS OF MAKING SAME

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Date: 11/14/2005

Respectfully submitted,

Chris C. Bowley Reg. No. 55,016

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

21206150.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

Signature

Patricia Smith

Typed or Printed Name of Person Signing Certificate

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 13445-030001

Application No. 10/733,873

NOV 1 7 700 prior mation Disclosure Statement by Applicant

by Applicant (Use several sheets if necessary)

Applicant Gilles Benoit et al.

Filing Date December 10, 2003 Group Art Unit

1771

| | | | U.S. Pate | nt Documents | | | |
|---------------------|--------------|--------------------|---------------------|---------------------|-------|----------|----------------------------|
| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
| | AA | 3,659,915 | 05/02/72 | Maurer et al. | | | |
| | AB | 4,688,893 | 08/25/87 | Laakmann | | | |
| | AC | 4,930,863 | 06/05/90 | Croitoriu et al. | | | |
| | AD | 5,497,440 | 03/05/96 | Croitoriu et al. | | | |
| | · AE | 5,729,646 | 03/17/98 | Miyagi et al. | | | |
| | AF | 5,815,627 | 09/29/98 | Harrington | | | |
| | AG | 5,935,491 | 08/10/99 | Tripathy et al. | | | |
| | AH | 6,130,780 | 10/10/00 | Joannopoulos et al. | | | |
| | AI | 6,172,810 | 01/09/01 | Fleming et al. | | | |
| | AJ | 6,404,966 | 06/11/02 | Kawanishi et al. | | | |
| | AK | 6,463,200 | 10/08/02 | Fink et al. | | | |
| | AL | 6,463,200 | 10/08/02 | Fink et al. | | | |
| | AM | 2002-0164137 | 11/07/02 | Johnson et al. | | | |
| | AN | 2003-0044158 | 03/06/03 | King et al. | | | |
| | AO | 6,606,440 | 09/12/03 | Hasegawa et al. | | | |
| | AP | 6,735,369 | 05/11/04 | Komachi et al. | | | |

| | Foreig | n Patent Doo | uments or P | ublished Foreign | Patent A | Application | ns | |
|----------|--------|-----------------|-------------|------------------|----------|-------------|-------------|----|
| Examiner | Desig. | Document | Publication | Country or | | | Translation | |
| Initial | ID | Number | Date | Patent Office | Class | Subclass | Yes | No |
| | AQ | 1,198,904 | 05/14/68 | Great Britain | | | | |
| | AR | EP 0 844 501 | 05/27/98 | Europe | | | | |
| | AS | WO 99/47465 | 09/23/99 | WIPO | | | | |
| | AT | WO 00/46287 | 08/10/00 | WIPO | | | | |
| | AU | WO 02/41050 | 05/23/02 | WIPO | | | | |
| | AV | WO 02/061467 | 08/08/02 | WIPO | | | | |
| | AW | WO 02/072489 | 09/19/02 | WIPO | | | | |
| | AX | WO 03/079077 | 09/25/03 | WIPO | | | | |

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 13445-030001 | Application No. 10/733,873 | |
|-------------------------------------|--|------------------------------------|----------------------------|--|
| | closure Statement | Applicant Gilles Benoit et al. | | |
| (Use several sheets if necessary) | | Filing Date December 10, 2003 | Group Art Unit 1771 | |

| | Foreign Patent Documents or Published Foreign Patent Applications | | | | | | |
|---------------------|---|--------------------|---------------------|-----------------------------|-------|----------|--------------------|
| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation Yes No |
| | AY | WO 03/079073 | 09/25/03 | WIPO | | | |

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attomey's Docket No. 13445-030001 | Application No. 10/733,873 |
|---|--|-----------------------------------|----------------------------|
| Information Disclo by Appl | | Applicant Gilles Benoit et al. | |
| (Use several sheets if necessary) (37 CFR §1.98(b)) | | Filing Date December 10, 2003 | Group Art Unit 1771 |

| | Other D | ocuments (include Author, Title, Date, and Place of Publication) |
|----------|---------|--|
| Examiner | Desig. | Decument |
| Initial | ID | Document Allan et al. "Photonic crystal fibers: effective-index and band-gap guidance." Photonic Crystals and |
| | AZ | Light Localization in the 21 st Century. 2001: Kluwer. |
| | AAA | Barkou et al. "Silica-air photonic crystal fiber design that permits waveguiding by a true photonic bandgap effect." Optics Letters, 24:1, Jan. 1, 1999, pp. 46-48. |
| | ABB | Baumeister, P. "the transmission and degree of polarization of quarter-wave stacks at non-normal incidence." Opt. Acta, 8, 1961, pp. 105-119 |
| | ACC | Birks et al. "Full 2-D photonic bandgaps in silica/air structures." Electronic Letters, 31:22, Oct. 26, 1995, pp. 1941-1943. |
| | ADD | Bormashenko et al. "Development of new-near-infrared filters based on the 'sandwich'polymer-chalcogenide glass-polymer composites." Optical Engineering, 40:5, 2001, pp. 661-662. |
| | AEE | Bormashenko et al. "New Oriented Polymer/Thermoplastic Glass Composites for IR Optics." Engineering Materials, 10, 2000, pp. ?-?. |
| | AFF | Bormashenko et al. "Optical Properties and infrared optics applications of composite films based on polyethylene and low-melting-point chalcogendie." Society of Photo-Optical Instrumentation Engineers, Feb. 2002. |
| | AGG | Bornstein et al. "Chalcogenide Hollow Fibers." Journal of Non-Crystalline Solids, 77:8, 1985, pp. 1277-1280. |
| | АНН | Broeng et al. "Analysis of air-guiding photonic bandgap fibers." Optics Letters, 25:2, 2000, pp.96-98. |
| | AII | Cregan et al. "Single-Mode Photonic Band Gap Guidance of Light in Air." Science, 285, Sep. 3, 1999, pp. 1537-1539. |
| | AJJ | Dai et al. "High-peak-power, pulsed CO ₂ laser light delivery by hollow glass waveguides." Appl Optics, 36, 1997, pp. 5072-5077. |
| | AKK | De Sterke et al. "Differential losses in Bragg fibers." J. Appl. Phys., 76:2, Jul. 15, 1994, pp. 680-688. |
| | ALL | Eggleton et al. Microstructured optical fiber devices." Optics Express, 9:13, 2001, pp. 698-713. |
| | AMM | Feigel A. et al. "Chalcogenide glass-based three-dimensional photonic crystals." Applied Physics Letters, 77:20, pp. 3221-3223, November 13, 2000. |
| | ANN | Fink et al. "A dielectric omnidirectional reflector." Science, 282:5394, 1998, pp. 1679-1682. |
| | A00 | Fink et al. "Guiding Optical Light in Air Using an All-Dielectric Structure." Journal of Lightwave Technology, 17:11, Nov. 11, 1999, pp. 2039-2041. |
| | APP | Fitt et al. "Modeling the fabrication of hollow fibers: Capillary drawings." Journal of Lightwave Technology, 19:12, 2001, pp. 1924-1931. |
| | AQQ | Gopal et al. "Deposition and characterization of metal sulfide dielectric coatings for hollow glass waveguide." Optical Society of America, 2003. Optics Express, 11:24, Dec. 1, 2003. |
| | ARR | Harrington, J.A. "Infrared Fibers in Handbook of Optics." McGraw-Hill, 2001, pp. 14, 1-14, 13. |
| | ASS | Harrington, James. "A Review of IR Transmitting, Hollow Waveguides." Fiber and Integrated Optics, 19, 2000, pp. 211-217. |
| | ATT | Hart et al. "External Reflection from Omnidirectional Dielectric Mirror Fibers." Science, 296, Apr. 19, 2002, pp. 510-513. |

| Examiner Signature | Date Considered |
|--|---|
| | |
| | |
| EXAMINER: Initiats citation considered. Draw line through citation if no | t in conformance and not considered. Include copy of this form with |

next communication to applicant.

| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 13445-030001 | Application No. 10/733,873 | · |
|-------------------------------------|--|------------------------------------|----------------------------|---|
| | losure Statement plicant | Applicant Gilles Benoit et al. | | |
| | | Filing Date December 10, 2003 | Group Art Unit 1771 | |

| | Other De | ocuments (include Author, Title, Date, and Place of Publication) |
|---------------------|--------------|--|
| Examiner Initial | Desig. ID | Document |
| | AUU | Hilton, A.R., "Optical Properties of Chalcogenide Glasses." Journal of Non-Crystalline Solids, 2, 1970, pp. 28-39. |
| | AVV | Hongo et al. "Transmission of Kilowatt-Class Co2-Laser Light through Dielectric-Coated Metallic Hollow Wave-Guides for Material Processing." Applied Optics, 31:24, 1992. pp. 5114-5120. |
| | AWW | Ibanescu et al. "An all-dielectric coaxial waveguide." Science, 289:5478, 2000, pp. 415-419. |
| | AXX | Ibanescu et al. "Analysis of Mode Structure in OmniGuide Fibers." Physical Review E, 67:4, 2003. |
| | AYY | John, S. "Strong Localization of Photons in Certain Disordered Dielectric Superlattices." Physical Review Letters, 58:23, 1987, pp. 2486-2486. |
| | AZZ | Johnson et al. "Low-loss asymptotically single-mode propagation in large-core OmniGuide fibers." Optics Express, 9:13, 2001, pp. 748-779. |
| | AAAA | Keck et al. "On the ultimate lower limit of attenuation in glass optical waveguides." Applied Physics Letters, 22:7, 1973, pp. 307-309. |
| | ABBB | King et al"Laboratory preparation of highly pure As ₂ Se ₃ glass." J. Non-Cryst. Sol., 181, 1995, pp. 231 - 237. |
| | · ACCC | Knight et al. "Photonic Band Gap Guidance in Optical Fibers." Science, 282, Nov. 20, 1998, pp. 1476-1478. |
| | ADDD | Kucuk et al. "An estimation of the surface tension for silicate glass melts at 1400°C using statistical analysis." Glass Technol., 40, 1999, pp. 149- 153. |
| | AEEE | Mahlein. Generalized Brewster-angle conditions for quarter-wave multilayers at non-normal incidence." J. Opt. Soc. Am., 64, 1974, pp. 647 - 352 |
| | AFFF | Marcatilli et al. "Hollow metallic and dielectric waveguides for long distance optical transmission and lasers." Bell Syst. Tech. J., 43, 1964, pp. 1783-1809. |
| | AGGG | Mossadegh R. et al. "Fabrication of single-mode chalcogenide optial fiber." Journal of Lightwave Technology, 16:2, pp. 214-216, February 1998. |
| | АННН | Matsuura et al. "Hollow infrared fibers fabricated by glass-drawing technique." Optics Express, 10:12, 2002, pp. 488-492. |
| | AIII | Matsuura et al. "Small-bore hollow waveguide for delivery of near singlemode IR laster radiation." Electronic Letters, 30, 1994, pp. 1688-1690. |
| | AJJJ | Maurer et al. "Fused silica optical waveguide." Corning Glass Works, 1972. |
| | AKKK | Mitra et al. "Nonlinear limits to the information capacity of optical fibre communications." Nature, 411, 2001, pp. 1027-1030. |
| | ALLL | Miyagi et al. "Design Theory of Dielectric-Coated Circular Metallic Waveguides for Infrared Transmission." Journal of Lightwave Technology, 2:2, 1984, pp. 116-126. |
| | AMMM | Monro, T.M. et al. "Chalcogenide Holey Fibres." Electronics Letters, 36:24, pp. 1998-2000, November 23, 2000. |
| | ANNN | Nishii, J. et al. "Chalcogenide glass fiber with a core-cladding structure." Applied Optics, 28: 23, pp. 5122-5127, December 1, 1989. |
| | A000 | Nubling et al. "Hollow-waveguide delivery systems for high-power, industrial CO ₂ lasers." Applied Optics, 34:3, Jan. 20, 1996, pp. 372-380. |
| | APPP | Ouyang et al. "Comparitive study of air-core and coaxial Bragg fibers: single-mode transmission and dispersion characteristics." Optics Express, 9:13, 2001, pp. 733-747. |

| Examiner Signature | Date Considered |
|---|--|
| EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant. | ot in conformance and not considered. Include copy of this form with |

| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 13445-030001 | Application No. 10/733,873 | |
|-------------------------------------|--|------------------------------------|----------------------------|--|
| | closure Statement pplicant | Applicant Gilles Benoit et al. | | |
| (Use several sheets if necessary) | | Filing Date December 10, 2003 | Group Art Unit 1771 | |

| | Other D | ocuments (include Author, Title, Date, and Place of Publication) |
|----------|---------|--|
| Examiner | Desig. | |
| Initial | ID · | Document |
| | AQQQ | Pottage et al. "Robust photonic band gaps for hollow core guidance in PCF made from high index glass." Optics Express, 11:22, Nov. 3, 2003, pp. 2854-2861. |
| | ARRR | Renn et al. "Laser-Guided Atoms in Hollow-Core Optical Fibers." Physical Review Letters, 75:18, 1995, pp. 3253-3256. |
| | ASSS | Rundquist et al. "Phase-matched generation of coherent soft-X-rays." Science, 280:5368, 1998, pp. 1412-1415. |
| | ATTT | Sanghera et al. "Active and passive chalcogenide glass optical fibers for IR applications: a review." Journal of Non-Crystalline Solids, 257, 1999, pp. 6-16. |
| | AUUU | Sanghera, J.S. et al. "Development and infrared applications of chalcogenide class optial fibers." Fiber and Integrated Optics, 19:3, pp. 251-274, March 1, 2000. |
| | AVVV | Sanghera, J.S. et al. "Fabrication of long lengths of low-loss IR transmitting AS40S (60-X) sex glass fibers." Journal of Lightwave Technology, 14:5, pp. 743-748, May 1, 1996. |
| | AWWW | Seddon, A.B. "Chalcogenide glasses: a review of their preparation, properties and applications." J. Non-Cyrst. Sol., 184, 1995, pp. 44 - 50. |
| | AXXX | Temelkuran et al. "Wavelength-scalable hollow optical fibres with large photonic bandgaps for CO ₂ laser transmission." Nature, 420, Dec. 12, 2002, pp. 650-653. |
| | AYYY | Temelkuran et al. "Low-loss infrared dielectric materials system for broadband dual-rang omnidirectional reflectivity." Optics Letters, 26, 2001, pp. 1370 - 1372. |
| | AZZZ | Varsheneya A.K. Fundamentals of Inorganic Glasses, Academic Press, San Diego, pp. 5-7,1994. |
| | AAAAA | Varshneya, A. K. "Some comments on physical properties of chalcogenide glasses." J. Non-Cryst. Sol., 273, 2000, pp. 1-7. |
| | ABBBB | Vienne et al. "First demonstration of air-silica Bragg fiber." Optical Society of America, 2003. Institute of Electrical and Electronics Engineers. Optical Fiber Communication Conference and Exposition Postdeadline Papers. |
| | ACCCC | Weber et al. Giant Birefringent Optics in Multilayer Polymer Mirrors." Science, 287, 2000, pp. 2451 - 2457. |
| | ADDDD | Winn et al. Omnidirectional reflection from a one-dimensional photonic crystal." Optics Letters, 23, 1998, pp. 1573 - 1575. |
| | AEEEE | Yablonovitch. E. "Inhibited Spontaneous Emission in Solid-State Physics and Electronics." Physical Review Letters, 58:20, 1987, pp. 2059-2062. |
| | AFFFF | Yeh et al. "Theory of Bragg Fiber." Journal of the Optical Society of America, 68:9, 1978, pp. 1196-1201. |
| | AGGGG | Yeh et al. Electromagnetic propagation in periodic stratified media. I. General theory." J. Opt. Soc. Am., 67, 1977, pp. 423 - 438. |

| Considered |
|--|
| |
| nformance and not considered. Include copy of this form with |
| |